**Agenda Item: 7.1**

**Report to: Primary Care Commissioning Committee**

**Date of meeting: 01/12/2020**

**Date paper distributed: 24/11/2020**

**Subject: Compliance Review of Primary Care Commissioning audit report**

**Presented by: Rachel Barrowcliff**

**Previously distributed to: Audit Committee**

**STATUS OF THE REPORT *(auto check relevant box****)*

**Decision required** [ ]

**For Discussion to give Assurance** [ ]  *(Only if requested by Committee member prior to meeting)*

**For Information** [x]

**Report Exempt from Public Disclosure**  [x]  No [ ]  Yes

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| --- | --- |
| **PURPOSE OF REPORT:** | In line with NHS England and NHS Improvement requirements, an audit of delegated commissioning arrangements was undertaken in August 2020. The audit covered the following part of the scope set out within the national framework as follows:**Primary Care Finance** i. Overall management and the reporting of delegated funds - processes for forecasting, monitoring and reporting ii. Review of financial controls and processes for approving payments to practices iii. Review of compliance with coding guidance on a sample basis iv. Processes to approve and decisions regarding 'discretionary' payments (e.g. Section 96 funding arrangements, Local Incentive Schemes) v. Implementation of the Premises Costs Directions **d. Governance** i. Operation and oversight of the Primary Care Commissioning Committee (or alternative committee with responsibility for the delegated function) in regard to commissioning and procurement of primary medical services, contract oversight and management functions and primary care finance (sections a-c within the Internal Audit Framework, but not in relation to the management of Conflicts of Interest). The audit concluded that there was full assurance and the complete report is attached. |
| **Recommendations:** | It is recommended that Committee note the report and that full assurance was achieved. |
| **Clinical Engagement**  | Not Applicable |
| **Patient/Public Engagement** | Not Applicable |
| Committee Process and Assurance: | Not Applicable |

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| --- | --- | --- | --- | --- |
| **Link to CCG’s Priorities** | * Sustainable services
* Empowering people
 | [x] [x]  | * Supporting communities
* Fit for purpose organisation
 | [x] [x]  |
| **Are there any specific and/or overt risks relating to one or more of the following areas?** | * Legal
* Finance
* Quality
* Equality analysis (and Due Regard Duty)
 | [ ] [ ] [ ] [ ]  | * Data protection
* Performance
* Other
 | [ ] [ ] [ ]  |

**Provide a summary of the identified risk**

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| None identified |

**Executive Summary**

The full audit report is embedded below for information.

